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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|  |                               |                               |
|--|-------------------------------|-------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | <b>Attorney Docket No.</b>    | 920002.00005                  |
|  | <b>First Inventor</b>         | Thomas W. Rand                |
|  | <b>Title</b>                  | CLEAR ICE MAKING REFRIGERATOR |
|  | <b>Express Mail Label No.</b> | EV 346175179 US               |

|   |   |
|---|---|
| <b>APPLICATION ELEMENTS</b><br><br>See MPEP chapter 600 concerning utility patent application contents. | <b>ADDRESS TO:</b><br>Commissioner for Patents<br>P. O. Box 1450<br>Alexandria, VA 22313-1450 |
|---|---|

- |  |  |
|--|--|
| <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>27</b>]<br/>(preferred arrangement set forth below)<br/>- Descriptive title of the invention<br/>- Cross Reference to Related Applications<br/>- Statement Regarding Fed sponsored R &amp; D<br/>- Reference to sequence listing, a table, or a computer program listing appendix<br/>- Background of the Invention<br/>- Brief Summary of the Invention<br/>- Brief Description of the Drawings (if filed)<br/>- Detailed Description<br/>- Claim(s)<br/>- Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) [Total Sheets <b>19</b>]</p> <p>5. <b>Oath or Declaration</b> [Total Pages <b>3</b>]<br/>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>Copy from a prior application (37 CFR 1.63 (d))<br/>b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed)<br/>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)<br/>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>b. <b>Specification Sequence Listing on:</b><br/>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/>ii. <input type="checkbox"/> paper<br/>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|--|--|

#### ACCOMPANYING APPLICATION PARTS

- |   |   |
|---|---|
| 9. <input checked="" type="checkbox"/> <b>Assignment Papers</b> (cover sheet & document(s))   |   |
| 10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> (when there is an assignee)  | <input type="checkbox"/> <b>Power of Attorney</b>       |
| 11. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)  |   |
| 12. <input type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b>   | <input type="checkbox"/> <b>Copies of IDS Citations</b> |
| 13. <input type="checkbox"/> <b>Preliminary Amendment</b>   |   |
| 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized)                                     |   |
| 15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> (if foreign priority is claimed)   |   |
| 16. <input type="checkbox"/> <b>Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).</b> Applicant must attach form PTO/SB/35 or its equivalent. |   |
| 17. <input type="checkbox"/> <b>Other:</b> .....  |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

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| <input checked="" type="checkbox"/> <b>Customer Number</b> | <b>26710</b>     | or           | <input type="checkbox"/> <b>Correspondence address below</b> |
| <b>Name</b>  |                  |              |  |
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| <b>Country</b>   | <b>Telephone</b> | <b>Fax</b>   |  |

|                          |   |  |            |
|--------------------------|---|--|------------|
| <b>Name (Print/Type)</b> | Steven J. Wietrzny  | <b>Registration No. (Attorney/Agent)</b> | 44,402     |
| <b>Signature</b>         |  | <b>Date</b>                              | 11/21/2003 |

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**FEE TRANSMITTAL**  
**for FY 2004**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 936.00)**Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          |                |
| First Named Inventor | Thomas W. Rand |
| Examiner Name        |                |
| Group Art Unit       |                |
| Attorney Docket No.  | 920002.00005   |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

17-0055

Quarles &amp; Brady LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770                   | 2001 385                   | Utility filing fee     | 770.00   |
| 1002 340                   | 2002 170                   | Design filing fee      |          |
| 1003 530                   | 2003 265                   | Plant filing fee       |          |
| 1004 770                   | 2004 385                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |

**SUBTOTAL (1)** (\$ 770.00)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 27                 | -20** = 7    | X 18.00        | = 126.00 |
| 2                  | -3** = 0     | X              | = 0.00   |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 86                    | 2201 43                    | Independent claims in excess of 3                          |
| 1203 290                   | 2203 145                   | Multiple dependent claim, if not paid                      |
| 1204 86                    | 2204 43                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$ 126.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1051 130                   | 2051 65                    | Surcharge - late filing fee or oath  |          |
| 1052 50                    | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                   | 1053 130                   | Non-English specification  |          |
| 1812 2,520                 | 1812 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804 920                   | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840                 | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                   | 2251 55                    | Extension for reply within first month                                     |          |
| 1252 420                   | 2252 210                   | Extension for reply within second month                                    |          |
| 1253 950                   | 2253 475                   | Extension for reply within third month                                     |          |
| 1254 1,480                 | 2254 740                   | Extension for reply within fourth month                                    |          |
| 1255 2,010                 | 2255 1,005                 | Extension for reply within fifth month                                     |          |
| 1401 330                   | 2401 165                   | Notice of Appeal   |          |
| 1402 330                   | 2402 165                   | Filing a brief in support of an appeal                                     |          |
| 1403 290                   | 2403 145                   | Request for oral hearing   |          |
| 1451 1,510                 | 1451 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452 110                   | 2452 55                    | Petition to revive - unavoidable   |          |
| 1453 1,330                 | 2453 665                   | Petition to revive - unintentional   |          |
| 1501 1,330                 | 2501 665                   | Utility issue fee (or reissue)   |          |
| 1502 480                   | 2502 240                   | Design issue fee   |          |
| 1503 640                   | 2503 320                   | Plant issue fee  |          |
| 1460 130                   | 1460 130                   | Petitions to the Commissioner  |          |
| 1807 50                    | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180                   | 1806 180                   | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                    | 8021 40                    | Recording each patent assignment per property (times number of properties) | 40.00    |
| 1809 770                   | 2809 385                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810 770                   | 2810 385                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801 770                   | 2801 385                   | Request for Continued Examination (RCE)                                    |          |
| 1802 900                   | 1802 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 40.00)**SUBMITTED BY**

Name (Print/Type)

Steven J. Wietrzny

Registration No.  
(Attorney/Agent)

44,402

Complete (if applicable)

Telephone

414-277-5415

Signature

Date

11/21/2003

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